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DATE: September 10, 2007
TO: Examiner: GREGG-RANGEL, Mary **FAX NO.:** 571-273-8300
USPTO GPAU 3609
FROM: Jeffrey G. Toler
Reg. No.: 38,342
RE U.S. App. No.: 10/651,076, filed August 28, 2003
Applicant(s): Michael Grannan
Atty Dkt No.: 1033-SS00415
Title: SYSTEM AND METHOD FOR MANAGING DIGITAL RIGHTS AND
CONTENT ASSETS
NO. OF PAGES (including Cover Sheet): 16

MESSAGE:

Attached please find:

- ☒ Transmittal Form (1 pg)
- ☒ Information Disclosure Statement with International Search Report and
Written Opinion (14 pgs)

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PTO/SB/21 (04-07)


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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/651,076	
	Filing Date	August 28, 2003	
	First Named Inventor	Michael Grannan	
	Art Unit	3609	
	Examiner Name	GREGG-RANGEL, Mary M.	
Total Number of Pages in This Submission	15	Attorney Docket Number	1033-SS00415

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Toler Schaffer, LLP		
Signature			
Printed name	Jeffrey G. Toler		
Date	9-10-2007	Reg. No.	38,342

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Signature			
Typed or printed name	James Candelas	Date	9-10-07

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Attorney Docket No.: 1033-SS00415

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s): Michael Graman

Title: SYSTEM AND METHOD FOR MANAGING DIGITAL RIGHTS AND
CONTENT ASSETS

App. No.: 10/651,076

Filed: August 28, 2003

Examiner: GREGG-RANGEL, Mary Group Art Unit: 3609

Atty. Dkt. No.: 1033-SS00415 Confirmation No.: 7264

Commissioner for Patents
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Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449
☐ Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

1. an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described below; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

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James Candelas
Typed or Printed Name

James Candelas
Signature

Attorney Docket No.: 1033-SS00415

STATEMENT UNDER 37 C.F.R. § 1.704(d)

If the above-identified application is an original application filed on or after May 29, 2000:

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

FEES DUE

This Information Disclosure Statement is being filed:


- ☐ within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- ☒ before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
- ☐ during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
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- ☐ during the period specified in § 1.97(d). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal. Additionally, each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.

Attorney Docket No.: 1033-SS00415

Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account 50-2469.

Respectfully submitted,

9-10-2007
Date


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PTO/SB/08A (08-03)

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Substitute for form 1449/PTQ

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 2

Complete if Known

Application Number	10/651,076
Filing Date	August 28, 2003
First Named Inventor	Michael Grannan
Art Unit	3609
Examiner Name	GREGG-RANGEL, Mary M.
Attorney Docket Number	1033-SS00415

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials ²	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ³
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
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	VV					
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT**

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Application Number	10/651,076
Filing Date	August 28, 2003
First Named Inventor	Michael Grannan
Art Unit	3609
Examiner Name	GREGG-RANGEL, Mary M.
Attorney Docket Number	1033-SS00415

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Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		International Search Report and Written Opinion of the International Searching Authority, for International Application Number PCT/US04/19923, mailed June 4, 2007.	

Examiner Signature		Date Considered	
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